

Contents

Preface	xiii
Acknowledgments	xv

Part I **FUNDAMENTALS**

1. Radiometry	3
1.1 The Nature of Light	4
1.2 Light from the Sun	6
Solar energy production	6
Solar energy arriving at earth	7
1.3 Measuring Radiant Energy	13
1.4 Directions and Solid Angles	16
Solid angle	19
Delta functions	20
1.5 Geometrical Radiometry	22
Radiance	22
Irradiance	24
Examples	27
Intensity	29
Terminology and notation	29
Radiance invariance law	33
Assigning surface radiances	35
1.6 Photosynthetically Available Radiation	36
1.7 Physical Foundations	37
1.8 Problems	38
2. Photometry	40
2.1 The Photopic Luminosity Function	40
2.2 The Lumen	42
2.3 Luminance	44
Examples	44
2.4 From Radiometry to Photometry	46
2.5 Colorimetry	47
The quantitative description of color	48
An example of experimentally determined chromaticity coordinates	56
2.6 Problems	58

Contents

3. Optical Properties of Water	60
3.1 Inherent Optical Properties	61
Modeling IOP's	66
3.2 Apparent Optical Properties	67
Average cosines	69
Reflectances	70
Diffuse attenuation coefficients	70
Gordon's normalization of K_d	72
3.3 Optically Significant Constituents of Natural Waters	73
3.4 Particle Size Distributions	77
3.5 Electromagnetic Properties of Water	80
3.6 Real Index of Refraction	83
3.7 Absorption	86
Measurement of absorption	86
Absorption by pure sea water	88
Absorption by dissolved organic matter	91
Absorption by phytoplankton	91
Absorption by organic detritus	94
Bio-optical models for absorption	95
3.8 Scattering	100
Measurement of scattering	100
Scattering by pure water and by pure sea water	102
Scattering by particles	105
Scattering by turbulence	107
Petzold's measurements of volume scattering functions	109
Analytic approximations of phase functions	114
Wavelength dependence of scattering; bio-optical models	117
3.9 Beam Attenuation	125
3.10 Diffuse Attenuation and Jerlov Water Types	128
3.11 Single-particle Optics	135
Mie theory	136
Computing bulk IOP's	138
3.12 Closure	141
3.13 Summary	142
3.14 Problems	142

Part II **RADIATIVE TRANSFER**

4. Across the Surface	147
4.1 Interaction Principles	148
Plane-parallel water bodies	149
Interaction principle for the air-water surface	151

Contents

4.2 The Level Surface	154
Fresnel reflectance	155
The n^2 law for radiance	159
Transfer functions for a level surface	162
Conservation of energy	165
4.3 Capillary Waves	166
Wave slope-wind speed law	167
Constructing a surface realization	168
Fixing scales	171
The probability distribution of wave slopes	171
Symmetries of the air-water surface	172
4.4 Ray Tracing	173
4.5 Irradiance Transfer Functions	176
Examples of ray paths	176
The general recursive ray path	178
Transfer functions as ensemble averages	180
4.6 Numerical Examples of Irradiance Transfer	182
Some observations on multiple scattering	182
Reflectances for capillary waves and collimated sources	183
Reflectances for capillary waves and distributed sources	190
4.7 Radiance Transfer Functions	194
Quad-averaged directional functions	195
Mathematical formalism of quad averaging	197
Partitioning the unit sphere	199
Quad-averaged bidirectional functions	202
Quad-averaged surface boundary conditions	204
Radiance transfer functions by Monte Carlo simulation	205
Energy conservation at the surface	209
4.8 Numerical Examples of Radiance Transfer	210
A level surface	211
Capillary wave surfaces: air-incident rays	214
Capillary wave surfaces: water-incident rays	220
4.9 Extensions to Arbitrary Wave Spectra	224
A hybrid gravity-capillary wave model	225
Generating a gravity-wave surface	226
4.10 Limitations of the Air-Water-Surface Model	230
Spatial and temporal resolution	230
Whitecaps and foam	231
4.11 Lambertian Bottom Surfaces	233
4.12 Problems	235
5. Within the Water	236
5.1 Radiative Processes	237
5.2 Elastic Scattering	238
The path function for elastic scattering	238

Contents

Symmetries of the volume scattering function	241
The scattering coefficient for elastic scattering	243
5.3 Inelastic Scattering	244
5.4 True, Inelastic, and Total Absorption Coefficients	246
5.5 Total Attenuation	247
5.6 True Emission	247
5.7 Radiance Transfer Equations	248
The general RTE	250
The monochromatic RTE for plane-parallel waters	251
Standard form of the RTE	252
Limitations of the RTE	253
5.8 Integral Forms of the RTE	254
Beer's law	254
Integral form of the RTE	256
5.9 A Simple Model for Radiance	259
The asymptotic radiance distribution	261
5.10 The Divergence Law for Irradiance	262
5.11 Irradiance Transfer: The Two-flow Equations	265
Heuristic derivation	265
Rigorous derivation	267
Alternate forms of the two-flow equations	271
Significance of the diffuse absorption and scattering functions	274
5.12 Relations Among IOP's and AOP's	277
Approximate relations for R and K_d	281
5.13 Polarization	284
Stokes vectors and Mueller matrices	285
Polarized radiance transfer	290
5.14 Raman Scattering	292
5.15 Fluorescence	300
5.16 Bioluminescence	309
5.17 Historical Notes	312
5.18 Problems	315

Part III **SOLUTION METHODS**

6. Monte Carlo Methods	321
6.1 Forward Monte Carlo Methods	321
Sampling photon path lengths	322
Sampling photon interaction types	325
Sampling scattering directions	325
Solving the RTE	326
Strengths and weaknesses	327
6.2 Backward Monte Carlo Methods	329

Contents

Reciprocity relations and adjoint problems	329
Example	331
Strengths and weaknesses	334
6.3 Variance Reduction Techniques	336
Biased sampling	336
Examples	337
6.4 Summary	339
6.5 Problems	340
7. Invariant Imbedding Methods: Introduction	341
7.1 The Two-flow Equations as a Mathematics Problem	343
7.2 Solution Algorithm for a Two-flow Problem	344
A global interaction principle	345
Differential equations for $\bar{R}(z, b)$ and $E_u'(b, z)$	346
Incorporation of the surface boundary conditions	348
Recapitulation and interpretation	349
7.3 Transport and Fundamental Operators	
for the Air-water Surface	353
7.4 The Fundamental Solution for Source-free Water Bodies	354
The mapping and group properties	
of the fundamental solution	357
7.5 The Fundamental Solution Including Internal Sources	358
The two-flow equations in matrix form	358
Incorporation of internal sources	359
Historical notes	360
7.6 The Transport Solution for Bare Slabs	361
Comments on notation	364
7.7 The Transport Solution for Bounded Slabs	365
Imbed rules (downward case)	365
Union rules (downward case)	367
Imbed and union rules (upward case)	367
Imbed and union rules for the entire medium $S[a, b]$	369
7.8 Differential Equations for the Standard Operators	370
Summary of the transport solution	374
7.9 Summary	375
7.10 Problems	377
8. Invariant Imbedding Methods: Solving the RTE	379
8.1 The RTE as a Mathematics Problem	380
8.2 Quad Averaging the RTE	382
Numerical evaluation of the quad-averaged phase function	385
Quad averaging the effective source function	387
8.3 Fourier Polynomial Analysis	388
Discrete orthogonality relations	389

Contents

Fourier polynomial formulas	390
8.4 Discrete Spectral Form of the RTE	393
Spectral decomposition of the RTE	394
8.5 Discrete Spectral Forms of the Boundary Conditions	403
Implications of surface wave symmetries	404
Spectral decomposition of the surface boundary conditions	406
Spectral decomposition of the bottom boundary condition	408
8.6 Fundamental and Transport Solutions	
for the Radiance Amplitudes	409
Local-transfer-matrix form of the	
spectral amplitude equations	409
Transport solution of the spectral amplitude equations	411
8.7 Differential Equations for the Standard Operators	415
8.8 Composit Forms of the l -mode Equations	418
8.9 Completing the Solution	419
Integrating the Riccati equations	420
Incorporation of the air-water surface boundary conditions	421
Interior radiance amplitudes	423
Water-leaving radiance amplitudes	424
Synthesis of the quad-averaged radiances	424
8.10 Summary	425
8.11 Problems	429
9. Eigenmatrix Methods	430
9.1 The Discrete-Ordinates Method	431
Reduction of the RTE to matrix form	433
Choosing the number of terms	
in the phase function expansion	437
Completing the solution	440
Strengths and weaknesses	441
9.2 A General Matrix Formulation of the	
Local Interaction Principles	442
9.3 Eigenstructures of the Local Transfer Matrix \underline{K}	444
Natural basis functions for radiance amplitudes	444
The eigenstructure equation	447
Eigenrepresentation of the fundamental solution	448
9.4 Properties of the Eigenstructures of \underline{K}	449
Reversal property of the eigenstructures	450
Uniqueness of the eigenstructures	451
Reduction of the eigenmatrix order	452
9.5 Radiance Reflectance of an Infinitely Deep Water Body	453
Application to the quad-averaged	
bottom boundary condition	455
9.6 The Asymptotic Radiance Distribution	457
Existence and computation of the	

Contents

asymptotic radiance distribution	457
Asymptotic behavior of apparent optical properties	460
Dependence of asymptotic values on inherent optical properties	461
Rate of approach to asymptotic values	463
An integral equation for the asymptotic radiance	468
9.7 Problems	469
10. Inverse Methods	472
10.1 Inverse Problems	472
Classification of inverse problems	473
10.2 Inversion of the RTE	474
Uniqueness of the inversion	475
The Wells compound radiometer	476
10.3 Inversions Based on the Irradiance Quartet	478
Information requirements for the inversion	478
The algorithm of Priesendorfer and Mobley	479
The algorithm of McCormick and Rinaldi	481
Recovery of internal sources	482
10.4 Inversions Based on the Plane Irradiances	483
Gordon's inversion of K_d and R	485
Detection of inelastic scattering	486
10.5 Remote Sensing	487
Ocean color	488
Complications	492
Remote-sensing reflectance	493
10.6 Problems	496
 Part IV SOLUTIONS 	
11. Underwater Light Fields	501
11.1 Model Validation	502
Model-model comparison	502
Standard radiance display	505
Model-data comparison	509
11.2 Depth Development of the Radiance Distribution	512
11.3 Sky Effects	516
11.4 Sea-surface Effects	521
11.5 Bottom Effects	526
11.6 Stratification Effects	529
11.7 Phase Function Effects	531
11.8 A Simulation of Case1 Water	533
Wavelength discretization	533

Contents

Inherent optical properties and boundary conditions	534
Radiances	543
Irradiances and K -functions	543
Reflectances	548
Average cosines	551
11.9 Problems	552
Epilogue	554
References	555
Index	579
Supplementary Notes	593